APLA 2013

RDA on the Ground

presented by:

Trina Grover

Stacey Penney

Heather Pretty

Today's goals

- **review** FRBR and introduce the RDA framework
- **outline** the major differences from AACR, and review RDA elements as they are encoded in MARC records
- **introduce** and discuss the construction of authorized access points (headings) using RDA
- **provide** familiarity with the resources and tools needed in order to continue to work with and implement RDA

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FRBR and RDA

This section taken from the Pan-Canadian training module:
Providing context for RDA compiled by Sue Andrews (UBC);
edited by Trina Grover, May 2013

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events & influences

AACR   FRBR   IME-ICC

TORONTO   The web

R|D|A TOOLKIT
RESOURCE DESCRIPTION & ACCESS

RDA is really a convergence of the pathways of a number of initiatives over the past twenty years

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Major events and studies
influencing the development of RDA

Stockholm Seminar and FRBR
Barbara Tillett's work
Toronto Conference
RDA-ONIX alignment
Delsey's *Logical structure* work
Paris Principles update
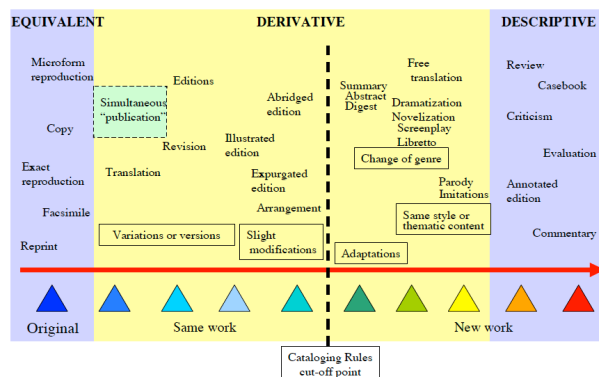FRAD and FRSAD

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Stockholm Seminar
on Cataloguing (1990)

- IFLA-sponsored seminar with participants from around the world
- Agreement on the need for a re-examination of existing international cataloguing practices
- Proposed an IFLA-sponsored study to:
  - Examine the relationships between the data elements in bibliographic records and the user needs to be met.
  - Recommend an internationally acceptable basic level of functionality and a set of basic data requirements for records created by national bibliographic agencies.
- *i.e. what do we really need in our records?*

The findings were reported in the (1998) IFLA publication:
Functional Requirements for Bibliographic Records

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Barbara Tillet's diagram from *Relationships in the Organization of Knowledge*

Toronto Conference (1997)

- International Conference on the Principles and Future development of AACR
  - raised a number of issues with the cataloguing code
  - some issues had already been addressed in updates to AACR2, For example, the redefinition of *seriality* and introduction of **mode of issuance**

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an examination of the structure of AACR

Tom Delsey's "*The Logical Structure of the Anglo-American Cataloguing Rules*" (1998) identified some problems, for example:

- Chapters per "class of material"
- Focus on "document" implying an item-in-hand
- Published/unpublished vs. online
- Focused on <u>fixed</u> attributes rather than changing attributes common in online resources

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a desire to be more international in scope

IME-ICC work

- The new "Statement of International Cataloguing Principles" (2009)
  - also uses the FRBR model and language
  - update to the Paris Principles (1961)

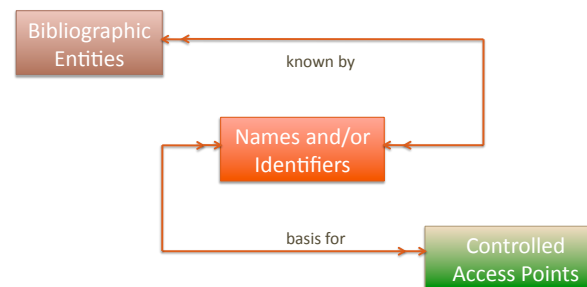**RDA on the Ground | APLA 2013**

IME-ICC General principles (update to the Paris Principles)

1. convenience of the user
2. common usage
3. representation
4. accuracy
5. sufficiency and necessity
6. significance
7. economy
8. consistency and standardization
9. Integration

The rules in a cataloguing code should be *defensible* and *not arbitrary*. It is recognized that these principles may contradict each other in specific situations and a defensible, practical solution should be taken.

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FRAD basic relationships

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FRAD (continued)

- User tasks:
  - **Find** (*e.g information on an entity and its associated resources*)
  - **Identify** (e.g. *confirm that the entity described corresponds to the entity sought*)
  - **Contextualize** (rda: clarify) (e.g. *clarify the relationship between two or more entities, or between an entity and a name it is known by*)
  - **Justify** (rda: understand) (*e.g. understand why a particular name or title is chosen as the "preferred" name or title*)

- Entities:
  - FRBR Group 1 (work, expression, manifestation, item)
  - FRBR Group 2 (person, family, corporate body)

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FRSAD
Functional Requirements for Subject Authority Data

- Focuses on FRBR Group 3 entities
  - concept, object, event
- User Tasks (*as of final report, June 2010*):
  - **Find** (e.g. *a subject corresponding to the user's stated criteria*)
  - **Identify** (e.g. *a subject based on specific attributes or characteristics*)
  - **Select** (e.g. *a subject appropriate to the user's needs*)
  - **Explore** (e.g. *relationships among subjects in order to understand the structure of the subject domain*)

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- *i.e. what do we really need in our records?*

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Functional Requirements for Bibliographic Records

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user tasks

To *find* materials on a given topic, by a given author

To *identify* and confirm that the record retrieved corresponds to the document or format needed

To *select* based on information to choose which of multiple records best suits the user's needs for language, format, etc.

To *obtain* have enough info to find on a shelf, to order, a link to access electronically, etc.

- Since first publication of FRBR: to *navigate* (e.g. among records in a database, headings in an index)
- Other suggestions have been: to *manage* and to *preserve*

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entity analysis technique

- identified the **entities** in bibliographic records that would support fundamental user needs
- grouped the entities into 3 groups
- determined basic **relationships** among the entities
- identified the most significant **attributes** of the entities and designated them as **core**

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work
expression
manifestation
item

FRBR Group 1
things that libraries collect

person
family
corporate body

FRBR Group 2
entities who do the intellectual / artistic work to create things

concept
object
event
place

FRBR Group 3
subjects

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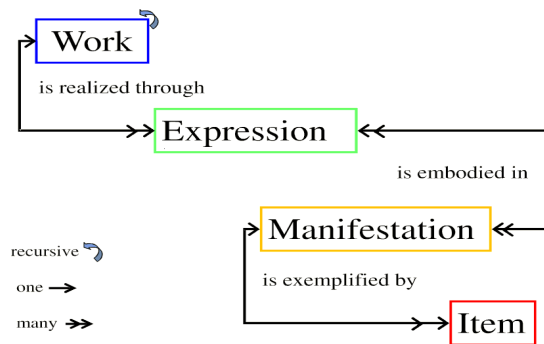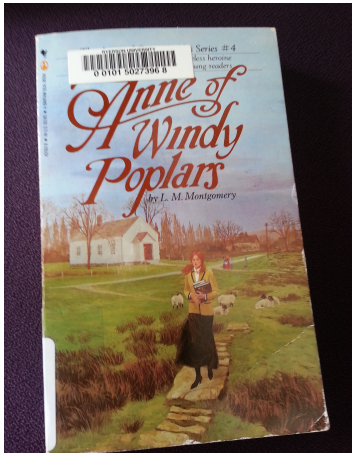## Relationships of Group 1 entities to each other

Image credit: Barbara Tillett, Library of Congress

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Group 1 entities

- Works
- Expressions
- Manifestations
- Items

these are the products of intellectual or artistic endeavour

the stuff libraries collect

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4 entities
1 object

an **item** in Ryerson's collection

one example of the Seal Books **manifestation**

an embodiment of the original English **expression**

a realization of the **work** by L. M. Montgomery

Examples of Works

- Robertson Davies' Fifth Business
- Da Vinci's Mona Lisa
- Mozart's Magic Flute
- L.M. Montgomery's Anne of Windy Poplars

Examples of Expressions

- Text
- Music notation
- Sound
- Still image
- Moving image
- Three-dimensional object
- Combinations of the above

Examples of Manifestations

- Printings
- CDs
- Magnetic tapes
- Microfilm reels
- Digitized versions accessed online

Manifestation attributes and their values

user task **Identify**   (chapter 2)

Title proper	Lives of girls and women
Statement of responsibility	Alice Munro
Place of publication	Fredericton, NB, Canada
Publisher's name	RTC Audiobooks, an imprint of Goose Lane Editions
Date of publication	date of publication not identified
Copyright date	©2005
Identifier for the manifestation	ISBN 0-86492-398-8

user task **Select** (chapter 3)

Media type	audio
Carrier type	audio disc
Extent	3 audio discs
Dimensions	12 cm

user task **Obtain** (chapter 4)

Terms of availability	$29.95 Can ($24.95 US)

As a searcher, I

need to read the novel for an English exam -- I want access to the **WORK**

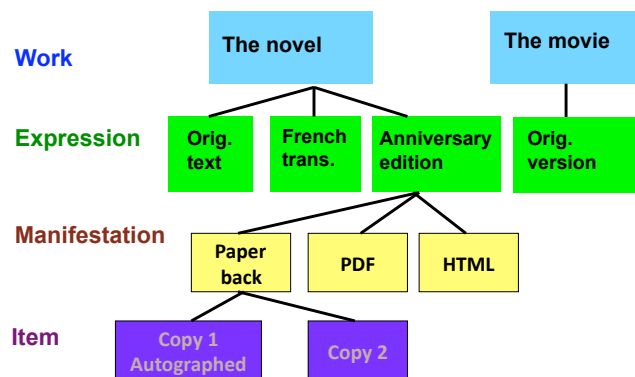want an audio version for commuting -- I need to find a particular **EXPRESSION**

prefer a paperback copy when traveling -- I want a particular **MANIFESTATION**

need to find the copy I borrowed, it is overdue -- I need a particular **ITEM**

Image source: people-clipart.com

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Group 1 relationships

Work — The novel / The movie

Expression — Orig. text / French trans. / Anniversary edition / Orig. version

Manifestation — Paper back / PDF / HTML

Item — Copy 1 Autographed / Copy 2
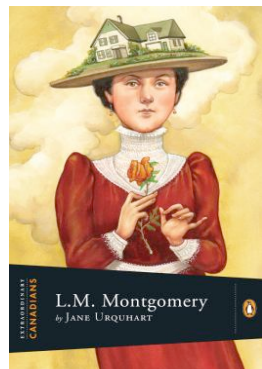
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Group 2 entities

- Persons
- Corporate bodies
- Families

are responsible for the production of or custodianship of group 1 entities

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Group 3 entities

- Concepts
- Objects
- Events
- Places
- plus any entity from group 1 or group 2

Examples of relationships between Group 2 and Group 1 entities

- Authors, artists, composers
  - create *Works*
- Editors, translators
  - "realize" *Expressions*
- Publishers, printers
  - issue or manufacture manifestations
- Donors, libraries
  - own items

Relationships are not just hierarchical

Work to work
- sequels, supplements, chapters within

Expression to expression
- revisions, abridgements, translations

Manifestation to manifestation
- reproductions, simultaneous editions

Item to item
- bound-together copies

Navigating relationships

Image sources: amazon.com, lawrencehill.com/bio.html

Slide 1: Sample MARC record with FRBR entities and attributes

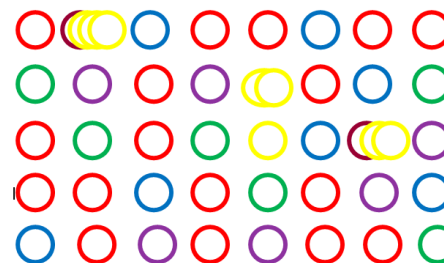**Sample MARC record with FRBR entities and attributes**

```
000 01056cam a22003375a 450
001 815024
005 20091216112530.0
008 920203t19891980onc 000 1 eng d
010 __ |a cn 89094472
015 __ |a C89-94472-5
020 __ |a 077109860X : |c $6.95
035 __ |a (CaBKOC)61021821
040 __ |a CaOTU |b eng |c NLC |d UtOrBLW
041 1_ |a eng |h fre
055 _0 |a PS8535 O95 |b B62 1989
090 __ |a PR9318 O9 |b B6 1989
100 1_ |a Roy, Gabrielle, |d 1909-1983.
240 10 |a Bonheur d'occasion. |l English
245 14 |a The tin flute / |c Gabrielle Roy ; translated by Alan Brown ; edited by
Philip Stratford.
260 __ |a Toronto : |b McClelland & Stewart, |c 1989, c1980.
300 __ |a 389 p. ; |c 18 cm.
490 1_ |a New Canadian library
500 __ |a Translation of: Bonheur d'occasion.
590 __ |a Library's copy signed by the author.
700 1_ |a Brown, Alan.
700 1_ |a Stratford, Philip.
830 _0 |a New Canadian library.
```

Work
Expression
Manifestation
Item

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Slide 2: Slightly "FRBR-ized" catalogue

Slightly "FRBR-ized" catalogue

Source: Oliver (2011: slide 33)

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Slide 3: Truly FRBR-ized display of data

Truly FRBR-ized display of data

- texts
- translations of text
- audio-books
- dramatizations
- adaptations as a motion picture
- criticisms

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Slide 4: well-formed data

well-formed data

- is based on a conceptual understanding of the bibliographic universe = extensible
- consists of elements that are used to

  find   identify   select   obtain

  find   identify   clarify   understand

- is accurate & precise & machine-usable

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Acknowledgements

Thank you to Sue Andrews for developing this module, and to the Pan-Canadian group for their time and expertise to create a suite of learning modules;

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Thank you to the copyright holders of RDA Toolkit for permission to copy screens and the RDA logo for educational purposes.

Slide 26 was created by Thomas Brenndorfer

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Introduction to RDA

This section based on the Pan-Canadian training module
Introducing RDA by Linda Woodcock
(Kwantlen Polytechnic University)

edited by Trina Grover, May 2013

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What is different?

Guiding principles, not rule by example

Structure of the RDA text

Structure of the description

Language & terminology

Transcription conventions

Use of controlled vocabularies

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What is the same?

Goals are still to
- describe the resource
- identify those responsible for the work
- make connections to related works

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RDA 0.0 purpose & scope

formulating data to support resource discovery
- based on **principles** not rule by example

- designed to assist users with the following tasks
  find
  identify
  select
  obtain

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RDA principles 0.4.3

Differentiation
The data describing a resource should differentiate that resource from other resources.

Sufficiency
The data describing a resource should be sufficient to meet the needs of the user with respect to selection of an appropriate resource.

Relationships
The data describing a resource should indicate significant relationships between the resource described and other resources.

Representation
The data describing a resource should reflect the resource's representation of itself.

Common Usage or Practice
Data that is not transcribed from the resource itself should reflect common usage in the language and script preferred by the agency creating the data.

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RDA structure

Divided according to two goals of resource description
Identify   and   **Relate**

- No longer organized by class of materials

Each chapter in Section 1 addresses a user task
2/ Identifying Attributes of Manifestations & items
FIND / IDENTIFY
3/ Describing Carriers   **SELECT**
4/ Providing Acquisition & Access Information   **OBTAIN**

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RDA structure

General introduction

Recording attributes
- ch. 1-7: work, expression, manifestation, item
- ch. 8-16: person, family, corporate body, place

Recording relationships: ch. 17-22, 24-32

Appendices

Glossary

Index

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RDA structure

FRBR Group 1 Entities

Section 1: Describing manifestations and items

Section 2: Describing works and expressions

FRBR Group 2 Entities

Section 3: Describing persons, families, corporate bodies

FRBR Group 3 Entities

Section 4: Describing concept, object, event, place

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RDA structure

RDA is not organized by format OR carrier

Each instruction applies to all types of material, all types of physical formats

- Some guidelines and instructions in chapters refer specifically to resources issued in a particular manner.

RDA structure

3.4.1.3 Recording Extent
Record the extent of the resource by giving the number of units and an appropriate term for the type of carrier as listed under 3.3.1.3

specific instructions for
- cartographic resources
- notated music
- still images
- text
- three-dimensional forms

Structure of the description

- AACR2's levels of description have been replaced by a set of required elements

- Core Elements
  - Guided by FRBR/FRAD "high value" elements to support user tasks, ISBD mandatory elements
  - a floor not a ceiling – a place to begin with potential to go further and add as many elements as your situation warrant

1st Level Description vs Core Element Set (0.6)

Title proper First Statement of responsibility Edition statement Material (or type of publication) specific details First Publisher, place Date of publication Extent of item Notes Standard number	Title proper First Statement of responsibility Edition statement First place of publication First publisher's name Date of publication Title proper of series Numbering within series Identifier for manifestation Carrier type Extent

Structure of the description

CORE IF statements

- Some elements become Core IF another element is absent
  - Place of distribution **is a core element** for a resource in a published form **if** the place of publication is not identified.

Exceptions to the rule?

- At certain points you are offered
  - Options for additions or omission
  - Alternatives to the stated rule

- Library of Congress has published their decisions on when their catalogers will apply these alternatives and options

Alternatives and Options

0.8
Alternative guidelines and instructions provide an alternative to what is specified in the immediately preceding guideline or instruction.

Optional instructions provide for either:
a) the **optional addition** of data that supplement what is called for in the immediately preceding instruction
or
b) the **optional omission** of specific data called for in the immediately preceding instruction.

Alternatives

At certain points RDA offers an alternative to the stated rule

1.7 When instructions specify transcription … apply the general guidelines on capitalization, punctuation, symbols, abbreviations
ALTERNATIVE
If the agency creating the data has established in-house guidelines on capitalization, punctuation, symbols, abbreviations, etc. or has designated a published style manual, use those guidelines or that style manual

Optional provisions

1.4 Language and Script   `LC-PCC PS`

- Record the elements listed below in the language and script in which they appear on the sources from which they are taken.

Optional Addition

- Record an element listed above in a transliterated form in addition to the form recorded in the script used on the source

LC practice/PCC practice for Optional addition: If non-Latin scripts are provided for the elements listed at RDA 1.4, provide romanized equivalents for those elements listed as "Mandatory if Applicable" in the PCC Guidelines for Creating Bibliographic Records in Multiple Character Sets

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AACR2 to RDA vocabulary

First Level of Description ⟶ Core Element Set

Chief Source of Information ⟶ Preferred Source

Physical description ⟶ Describe carriers

Notes on the content ⟶ Describe content

Make added entries ⟶ Record relationships

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AACR2 to RDA vocabulary

heading ⟶ authorized access point

author, composer, etc. ⟶ creator

main entry ⟶ Authorized access point for a work (preferred title + authorized access point for creator if appropriate)

uniform title ⟶ (1) preferred title (+ other information to differentiate) (2) conventional collective title Works

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AACR2 to RDA vocabulary

GMD
General material designation ⟶ media type
carrier type
content type

Physical description ⟶ Carrier description

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AACR2 to RDA vocabulary

see reference ⟶ variant access point

see also reference ⟶ authorized access point for related entity

Elements of the description

RDA 1.4

specifies which elements of the description are

- Transcribed
- Recorded
- Supplied

Elements of the description

- Transcribed elements
    Title / Statement of responsibility
    Edition statement
    Publication statement (Place, Publisher, Date)
    Numbering
- Recorded elements
    Carrier information
    • Physical characteristics
    Content information

Elements of the description

Supplied elements
    Data about the resource that is gathered from sources outside the resource itself

There are guidelines governing
    ◦ supplied titles
    ◦ supplied dates

Representation principle

Take what you see /accept what you find

Do not introduce abbreviation
    AACR2 uses abbreviations in certain transcribed elements such as edition, numbering, place of publication
    RDA permits abbreviations in transcribed elements **only** if that is how they are represented on the source
Do not correct errors
    AACR2 directs that inaccuracies be corrected
    RDA requires inaccuracies to be recorded as they appear
    No more use of [sic] or [i.e. ___]

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Use of capitalization

1.7.2 Capitalization
    Apply the instructions on capitalization given in appendix A

A.1 General Guideline
    Unless there are specific guidelines under A.2–A.9 , capitalize words according to the guidelines for the language involved

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Use of abbreviation

B.4   Transcribed Elements

For transcribed elements, use only those abbreviations found in the sources of information for the element

If supplying all or part of a transcribed element, generally do not abbreviate words

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Use of abbreviation

You can adjust what is found on the resource when providing recorded elements

Instructions at **B5** direct you to use prescribed abbreviations in recorded elements for :
    dimension
    duration
    details about musical works
    date: use the abbreviations A.D. and B.C. when recording a date in the Christian calendar associated with a person

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AACR2
specifies
chief source of information
for each class of material

RDA specifies *preferred source of information* for three categories :
- one or more pages, leaves, sheets, cards
- moving images
- other resources

2.1.2.2 When preparing a comprehensive description for a resource issued as a single unit choose a source of information identifying the resource as a whole

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RDA

2.2.2 **u**se as the preferred source of information a source forming part of the resource itself that is appropriate to:
- the type of description, and
- the presentation format of the resource

2.2.2.2 use the title page, title sheet, or title card (or image thereof) as the preferred source of information

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AACR2
requires information taken from a source other than the chief source to be enclosed in [square brackets]

RDA

requires [square brackets]
 ONLY
 when information is from
 outside the resource

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Recording relationships

- Key aspect of FRBR/FRAD model
- Most are beyond CORE elements
- Decide which are important in your environment

Recording Primary Relationships
 4 relationships are CORE RDA elements
 - Creator
 - Other person or corporate body associated
 - Work manifested
 - Expression manifested

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Recording relationships

Recording Relationships Between Works

LC considers two types as CORE
- whole/part for works in a compilation
- Serial relationships earlier/later titles

RDA and MARC

RDA is independent from any encoding method

HOWEVER

changes to MARC21 have been made to allow us to continue to use MARC until a new encoding standard emerges. This will improve the data structure now in preparation for what the future brings.

new emphasis:
accuracy & precision

truthful representation balanced with accuracy

specify relationships with designators to clarify

record data elements in distinct and labeled chunks

more controlled vocabulary

The strongest link:
libraries and linked data

- the semantic web relies on highly structured metadata that allow computers to understand the relationships between objects

- the technology is ready; it is now a matter of getting libraries and librarians ready as well

http://www.dlib.org/dlib/november10/byrne/11byrne.html

Acknowledgments

Thank you to Linda Woodcock for developing this module, and to the Pan-Canadian group for their time and expertise to create a suite of learning modules;

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RDA on the ground: authorized access points

Heather Pretty

hjpretty@mun.ca

May 14, 2013

AACR2 vs. RDA terminology

AACR2	RDA
Heading	Authorized access point
Author	Creator
Main entry	Preferred Title **or** Authorized Access Point for creator + Preferred Title
Uniform title	1. Preferred Title and any differentiating info 2. a conventional collective title, e.g. Works; Symphonies; Poems
See reference	Variant access point
See also reference	Authorized access point for related entity

From Adam Schiff: http://faculty.washington.edu/aschiff/

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RDA Name Authority Records

- What can you expect to see now?
- New fields
- 667 note
- Bringing back the 678
- Changes to "old" (familiar!) fields (1XX/4XX/ 5XX)

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Programmatic Changes to LC/NACO Authority File

- PCC Acceptable Heading Implementation Task Group:
  1. All authority records that cannot be used under RDA are given a note in the 667 field.
  2. All authority records that cannot be used under RDA, but contain an element that can be mechanically changed to make them conform to RDA, are so manipulated.
  3. The remaining records (whose headings appear to conform to RDA without mechanical adjustment) are re-coded as RDA.

http://files.library.northwestern.edu/public/pccahitg/

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667 Note

- "THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL THIS RECORD HAS BEEN REVIEWED AND/OR UPDATED"

- "RDA-trained PCC catalogers encountering a name authority record (NAR) with this 667 field should evaluate the 1XX field, and the remainder of the authority record."

From "Summary of Programmatic Changes to the LC/NACO Authority File"

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008/10, 040, Subfields $u and $v

- 008/10 (Descriptive cataloging rules code) = "z" (other)
- 040 (Cataloging source) $e (Description conventions) = "rda"
- Most new RDA fields will accept subfields:
  - $u Uniform Resource Identifier
  - $v Source of information

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046 – Special Coded Dates

ISO 8601 date scheme: YYYYMMDD

$f Birth date
$g Death date
$s Start period
$t End period

$2 edtf (Extended Date Time Format)
    included for uncertain dates
    (not in ISO 8601)
Not used the same as the 046 in bibliographic records

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370 – Associated place

Give the RDA form of place it would have as an addition to an authorized access point.
    $a Scott Air Force Base, Ill. $e St. John's, N.L. $f Alaska

$a Place of birth

$b Place of death

$c Associated country

$e Place of residence/headquarters

$f Other associated place

$s and $t may be used to indicate a time period

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371 – Address

$a Address

$b City

$c Intermediate jurisdiction (province/state)

$d Country

$e Postal code

$m Electronic mail address

$s and $t may be used to indicate a time period

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372 – Field of activity

$a Field of activity

$2 Source of term

$s and $t may be used to indicate a time period

$a Civil engineering $a Highway engineering $2 lcsh

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373 – Associated Group

$a Associated group

$s and $t may be used to indicate a time period

$2 Source of term acceptable, but not encouraged for field 373. (Prefer using names of organizations as found in the resource in hand.)

$a Ballast Nedam $a GTMI (Canada) $a Northern Construction $a Straight Crossing $s 1993 $t 1997

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374 – Occupation

$a Occupation

$s and $t may be used to indicate a time period

$2 Source of term

Bridge engineer $s 1985 $t 2009

Transportation engineers $s 1975 $2 lcsh

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375 – Gender
377 – Associated language

375 $a Gender (female or male)
$s and $t may be used to indicate a time period

377 $a Language code (from MARC code list for languages)

375 $a male $s 1926 $t 1972
375 $a female $s 1972
377 $a eng

378 – Fuller Form of Personal Name

$q Fuller Form of Personal Name

100 1 $a Pretty, Heather, $d 1975-
378     $q Heather Jeannette

678 – Biographical or Historical Data

- Viewable by the public
- $a Biographical or historical data
- "L. M. Montgomery, November 30, 1874 - April 24, 1942, is best known for writing the series of books beginning with Anne of Green Gables set in Prince Edward Island, Canada. She married Ewen ("Ewan") Macdonald, and had three children: Chester, Hugh, and Stuart."

Relationship subfields in 5XX

$i Relationship information
$a Personal name (or corporate name)
$w Control subfield
   "r" = Relationship designation in subfield $i or $4

- 100 Cher, $d 1946-
  510 $i Group member of: $a Sonny & Cher $w r
- 100 Snyder, Clara, $d 1919-2005
  500 $i Spouse: $a Snyder, Roy, $d 1915- $w r

Programmatic Changes to LC/NACO Authority File, Phase 2 (March 2013):

- Expanding abbreviations arr., acc., and unacc.
- Modify Bible AAPs with O.T. and N.T.
- Modify violoncello
- **Handling of abbreviation Dept.**
- Modify Koran AAPs (to Qur'an)
- Modify Selections uniform titles
- **Handling of X00 $c**
- **Handling of date subfields**

RDA on the Ground | APLA 2013

Dept. to Department

"RDA headings for corporate bodies *will follow the usage of each body*, and contain either the full form 'Department' or the abbreviated form 'Dept.' as appropriate."

http://files.library.northwestern.edu/public/pccahitg/RDA_conversion.Dept.doc

Source	AACR2	RDA
Department of Agriculture	Canada. **Dept.** of Agriculture	Canada. **Department** of Agriculture
Dept. of Energy	Great Britain. **Dept.** of Energy.	Great Britain. **Dept.** of Energy.

RDA on the Ground | APLA 2013

X00 $c – Titles or Terms Associated with Surnames

- AACR2 – only add title or term if needed to distinguish
- RDA – title or term is considered part of the name. ALWAYS INCLUDE in $c

AACR2	RDA
Iglesias, Julio, $d 1973-	Iglesias, Julio, $c Jr., $d 1973-

RDA on the Ground | APLA 2013

Recording Dates associated with Person 1XX $d (9.3)

Type of Date	AACR2	RDA
Birth and death	1874-1942	1874-1942
Birth date only	1874- or b. 1874	1874-
Death date only	d. 1942	-1942
Century	cent.	century
Approximate	ca.	approximately
Flourished/active	fl.	active
Month	Jan., Feb., ...	January, February

RDA on the Ground | APLA 2013

LC-PCC PS for 9.3.3.3

- Recording date of death
- "*LC practice/PCC practice:* Use a hyphen before the date of death when recording the date in an authorized access point; do not use the term "died" with the date."

- LC-PCC PS = Library of Congress-Program for Cooperative Cataloging Policy Statement ☺

RDA on the Ground | APLA 2013

More date examples

AACR2	RDA
fl. ca. 1187-1185 B.C.	active approximately 1187 B.C.-1185 B.C.
fl. 2nd-1st cent. B.C.	active 2nd century B.C.-1st century B.C.
1718 or 19?-1801 or 2	1718 or 1719?-1801 or 1802
1682 Apr. 20	1682 April 20
Maurya dynasty, ca. 322-ca. 185 B.C.	Maurya dynasty, approximately 322 B.C.-approximately 185 B.C.

"Under RDA, all abbreviations in subfields $d and $f except 'B.C.' and 'A.D.' are to be discontinued" from Summary of Programmatic Changes to LC/NACO Authority File

RDA on the Ground | APLA 2013

L.M. Montgomery AACR2 NAR

Ser num	n		Name	a		Subdiv tp	n		Rules	c

010			n 81018346 ‡z n 50059017
040			DLC ‡b eng ‡c DLC ‡d DLC ‡d DLC ‡d OCoLC
053	0		PR9199.3.M6
100	1		Montgomery, L. M. ‡q (Lucy Maud), ‡d 1874-1942
400	1		Montgomery, Lucy Maud, ‡d 1874-1942 ‡w nna
400	1		MacDonald, Lucy Maud Montgomery, ‡d 1874-1942
400	1		מונטגומרי, לוסי מ.
400	1		モンゴメリ, L. M, ‡d 1874-1942
400	1		L.M.モンゴメリ, ‡d 1874-1942
667			Machine-derived non-Latin script reference project.
667			Non-Latin script references not evaluated.
670			Her Anne of Green gables, 1908.
670			Anne of Green Gables, 2002, ‡b CIP t.p. (L.M. Montgomery) CIP galley (b. Nov. 30, 1874 in Clifton (now New London), Prince Edward Island; d. April 24, 1942)

RDA on the Ground | APLA 2013

Wikipedia entry for Montgomery

Lucy Maud Montgomery

Born	November 30, 1874 Clifton, Prince Edward Island
Died	April 24, 1942 (aged 67) Toronto, Ontario
Occupation	Novelist
Nationality	Canadian
Alma mater	Prince of Wales College, Dalhousie University
Period	1891–1939
Genres	Children's literature
Notable work(s)	Anne of Green Gables
Spouse(s)	Ewen ("Ewan") Macdonald
Children	Chester, Hugh and Stuart

L. M. Montgomery ca. 1897

RDA on the Ground | APLA 2013 http://en.wikipedia.org/wiki/Lucy_Maud_Montgomery

L.M. Montgomery RDA NAR

Ser num	n	Name	a	Subdiv tp	n	Rules	z

010	n. 81018346 ǂz n. 50059017
040	DLC ǂb eng ǂc DLC ǂd DLC ǂd OCoLC ǂe rda ǂd CaNfSM
046	ǂf 18741130 ǂg 19420424
053	0 PR9199.3.M6
100	1 Montgomery, L. M. ǂq (Lucy Maud), ǂd 1874-1942
370	Clifton, P.E.I. ǂb Toronto, Ont. ǂe P.E.I. ǂf P.E.I.
372	Children's literature ǂ2 lcsh
373	Prince of Wales College ǂs 1893 ǂt 1893
373	Dalhousie University ǂs 1895 ǂt 1896
374	Women novelists ǂ2 lcsh
375	female
377	eng
378	ǂq Lucy Maud
678	L. M. Montgomery, November 30, 1874 - April 24, 1942, is best known for writing the series of books beginning with Anne of Green Gables set in Prince Edward Island, Canada. She married Ewen ("Ewan") Macdonald, and had three children: Chester, Hugh and Stuart.

(Right column partial text: מונטגומרי, לוסי מ.; Nov. 30, 1874 in Clifton (now New...; 1874, Clifton, Prince Edward Island; Edward Island; called "Maud" by ...ist; Nationality: Canadian; Alma ...Genre: Children's literature; Spouse:)

RDA on the Ground | APLA 2013

Quick Quiz!

- What information in the 008/10 and 040 indicates that an NAR is RDA compliant?

- Under what circumstance would one find the spelling "Dept." in an AAP?

- How is a "death date only" formatted in an AAP?

RDA on the Ground | APLA 2013

AAPs on the ground

- Constructing an AAP
- Core elements
  - including Qualifiers ("other designations associated with a person")
- Additions to Access Points
  - Dates, Fuller form of name, Dates of activity, Occupation

RDA on the Ground | APLA 2013

AACR2 vs. RDA

Heading/AAP	AACR2	RDA
Personal	Chapter 22	Chapter 9
Family (NEW!)	-----	Chapter 10
Geographic (not much change)	Chapter 23	Chapter 16
Corporate / Conference	Chapter 24	Chapter 11
Titles (Works/ Expressions)	Chapter 25	Chapter 6

From Paul Frank's "RDA Name Authorities – What's New and Different from AACR2"
RDA on the Ground | APLA 2013

Preferred Name

- "… refers to the name or form of name chosen as the basis for the authorized access point representing a person, family, or corporate body." (8.1.3)

- Name: Heather Jeannette Pretty (never published as)
- **Preferred name**: Heather Pretty (**most published as**)
- Variant name: H. J. Pretty (sometimes published as)

RDA on the Ground | APLA 2013

Authorized Access Point (AAP)

- "… refers to the standardized access point representing an entity … constructed using the preferred name" (8.1.4)

- Access point: Pretty, Heather Jeannette (thesis)
- **Authorized access point**: Pretty, Heather, 1975-
- Variant access point: Pretty, H. J., 1975-

RDA on the Ground | APLA 2013

AAP Core Elements (8.3)

- Preferred name of the person (9.2.2)
- Title of the person (9.4.1.4 - 9.4.1.8)
- Date of birth (9.3.2)
- Date of death (9.3.3)
- Other designation associated with the person (if readily available) (9.6.1.4, 9.6.1.5, 9.19.1.2)

RDA on the Ground | APLA 2013

Recording other designations associated with a person (Qualifiers)

- Title or other designation, applied in this order as applicable (9.19.1.2)
  - a title of royalty (9.4.1.4) or nobility (9.4.1.5)
  - the term *Saint* (9.6.1.4)
  - title of religious rank (9.4.1.6-9.4.1.8)
  - the term *Saint* (9.6.1.4)
  - the profession or occupation for a person whose name consists of a phrase or appellation not conveying the idea of a person (9.16)
    e.g., Dr. E $c (Musician)

RDA on the Ground | APLA 2013

Additions to Access Points

- Date of Birth and/or Death (9.19.1.3)

  LC-PCC PS: Add a date of birth and/or date of death even if not needed to distinguish between access points.

- Fuller Form of Name (9.19.1.4)

  LC-PCC PS: Do not add a fuller form of name if there is no need to distinguish between access points

RDA on the Ground | APLA 2013

Additions to Access Points

- Period of Activity of the Person (9.19.1.5)

  LC-PCC PS: Do not add a term indicating period of activity of the person if there is no need to distinguish between access points.

- Profession or Occupation (9.19.1.6)

  Add this element if you don't have any of the elements 9.19.1.3-9.19.1.5 above to use instead to distinguish between access points.

RDA on the Ground | APLA 2013

Qualifiers and Additions

AACR2 22.19, LCRI 22.19	RDA 9.19.1.1, 9.19.1.2, 9.19.1.6
Johannes $c (Notary)	Johannes $c (Notary)
Thomas $c (Anglo-Norman poet)	Thomas $c (Anglo-Norman poet)
Smith, John, $c Sir	Smith, John $c (*[Profession or Occupation]*)
Brown, George, $c Captain	Brown, George $c (**Soldier**)
Brown, George, $c F.I.P.S.	Brown, George $c (*[Profession or Occupation]*)
Brown, George, $c Rev.	Brown, George $c (**Clergyman**)
Brown, George, $c Ph. D.	Brown, George $c (*[Profession or Occupation]*)
Brown, George, $c flutist	Brown, George $c (**Flutist**)

Based on Adam Schiff's presentation (slide 97): http://faculty.washington.edu/aschiff/ OCLCPresentation-Part1-WithNotes.pdf

RDA on the Ground | APLA 2013

Finding / creating an AAP

- Summary of MUN local policy:
  - Search elsewhere for the established heading/AAP first
  - If you don't find an established heading/AAP, but the name is unique and you have easy access to dates, add the dates.
  - If the name is not unique, add dates (for sure!) if you have them, and/or other information **readily available** to you to distinguish the name. (9.19.1.4-9.19.1.6)
  - Put on Authority Maintenance Shelf

RDA on the Ground | APLA 2013

Example

- Title –
  Storm and Dissonance: L.M. Montgomery and conflict

- Editor –
  Jean Mitchell

- What is the process to establish this name under RDA?

RDA on the Ground | APLA 2013

Book – T.P. and T.P. verso

Storm and Dissonance:
L. M. Montgomery and Conflict

Storm and Dissonance: L. M. Montgomery and Conflict, Edited by Jean Mitchell

Edited by

This book first published 2008 by

Cambridge Scholars Publishing

Jean Mitchell

15 Angerton Gardens, Newcastle, NE5 2JA, UK

British Library Cataloguing in Publication Data
A catalogue record for this book is available from the British Library

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ISBN (10): 1-84718-433-2, ISBN (13): 9781847184337

Cambridge Scholars Publishing

RDA on the Ground | APLA 2013

Book – Contributor information

Contributors   397

of the nineteenth and early twentieth centuries. She is the author of *Imperialism at Home: Race and Victorian Women's Fiction* (1996) and co-editor of *The New Nineteenth Century: Feminist Readings of Underbred Victorian Fiction* (1996). Her articles on nineteenth- and twentieth-century literature have appeared in various essay collections and in such journals as *Victorian Studies, Victorian Literature and Culture, ELH, Cather Studies,* and *Prospects.* She is currently completing a book on Willa Cather and her first picture book, *Matthew and Tall Rabbit Go Camping,* is also forthcoming.

Jean Mitchell is an anthropologist from Prince Edward Island who teaches at the University there. A long-time admirer of Montgomery, she has worked with children's organizations in South Asia and the South Pacific. Specializing in the study of gender, children, youth, and postco... "Reme... Hebrid... the So...

Jean Mitchell is an anthropologist from Prince Edward Island who teaches at the University there. A long-time admirer of Montgomery, she has worked with children's organizations in South Asia and the South Pacific. Specializing in the study of gender, children, youth, and postcolonialism, she has recently published a book chapter entitled "Remembering a Tonkinese Orphan in a French Household in the New Hebrides." Her current research project explores youth and modernity in the South Pacific Islands and Prince Edward Island.

RDA on the Ground | APLA 2013

LAC Amicus

AMICUS
Canadian National Catalogue
Registered Service

Authority - Full Record

Basic Search
Advanced Search
Command Search

Introduction | Comments | AMICUS Help | My List | My Profile
Exit Registered Service

MARC | Bibliographic Records | Save

Link to this page

Authority: 829145

NAME: Mitchell, Jean, 1953-

SEEN FROM: Mitchell, Margaret Jean, 1953-

SOURCE DATA FOUND: Anne around the world, c2013. CIP.
                      CIP info. (McGill-Queen's University Press):
                      (Canadian; Margaret Jean Mitchell)
                      U. of PEI, viewed 18/01/2013: (Interested in the
                      relationship between theory and ethnography, Dr. Mitchell has
                      lived for extended periods of time in Indonesia, India and in the
                      South Pacific nations of Kiribati, Solomon Islands and Vanuatu ...
                      BA University of Prince Edward Island (1977), MA (Development
                      Studies) Carleton University (1991), MA (Social Anthropology) York
                      University (1993), PhD York University (2002))

CANADIANA: Canadiana: 1024F0149
RECORD STATUS: New record

ENTERED ON FILE: 130118
LAST CHANGED: 20130118125902.0

MARC | Bibliographic Records | Save on the Ground | APLA 2013

LC/NACO Authority File

| Mitchell, Jason, ǂd 1970- |
| Mitchell, Jason, ǂd 1972- |
| Mitchell, Jason, ǂd 1974- |
| Mitchell, Jason D. ǂq (Jason David), ǂd 1966- |
| Mitchell, Jason David, ǂd 1966- |
| Mitchell, Jason E. |
| Mitchell, Jason H. ǂq (Jason Holt) |
| Mitchell, Jason Holt |
| Mitchell, Jay |
| Mitchell, Jay, ǂd 1970- |
| Mitchell, Jay C., ǂd 1956- |
| Mitchell, Jay Florian |
| Mitchell, Jean |
| Mitchell, Jean Brown |
| Mitchell, Jean Cathrine |
| Mitchell, Jean Elizabeth |

| Mitchell, Margaret, ǂd 1903- |
| Mitchell, Margaret, ǂd 1950- |
| Mitchell, Margaret, ǂd 1955- |
| Mitchell, Margaret, ǂd 1964- |
| Mitchell, Margaret A., 1925- |
| Mitchell, Margaret A., ǂd 1983- |
| Mitchell, Margaret D. |
| Mitchell, Margaret Emily, ǂd 1891-1991 |
| Mitchell, Margaret Emma Peery, ǂd 1913-1980 |
| Mitchell, Margaret ǂc (Illustrator) |
| Mitchell, Margaret Johnes, ǂd 1869- |
| Mitchell, Margaret Johnes, ǂd b. 1869 |
| Mitchell, Margaret Julia, ǂd 1837-1918 |
| Mitchell, Margaret Lois, ǂd 1922- |
| Mitchell, Margaret ǂq (Margaret Helen) |
| Mitchell, Margaret Marion, ǂd 1904- |
| Mitchell, Margaret Mary, ǂd 1956- |
| Mitchell, Margaret, ǂc singer |
| Mitchell, Margaret T. |
| Mitchell, Margaret T. ǂq (Margaret Tyler), ǂd 1967- |
| Mitchell, Margaretta |

010		n 82234323
040		DLC ǂb eng ǂc DLC ǂd OCoLC
100	1	Mitchell, Jean
670		Her Foreign enterprise in developing countries, 1981: ǂb p. 5 (senior research officer of CEDA)

Mitchell, Jean ǂq (Virginia County)
Mitchell, Jeannette

RDA on the Ground | APLA 2013

Formatting the AAP?

- With AACR2, rules were to follow the LAC heading (if established with LAC).
- With RDA, we follow RDA whether or not the result matches the LAC established heading.
- So… "Mitchell, Jean, $d 1953-"
- Although we know fuller form of name, we do not include because it's not needed to distinguish.

RDA on the Ground | APLA 2013

Follow-up Search of OCLC BIB File

100	1	Mitchell, Margaret Jean, ǂd 1953-
245	1 0	Roads, restlessness, and relationships ǂh [microform] : ǂb an urban settlement in postcolonial Vanuatu.
260		Ottawa : ǂb National Library of Canada = Bibliothèque nationale du Canada, ǂc [2003]
300		6 microfiches.
490	0	Canadian theses = Thèses canadiennes.
502		Thesis (Ph. D.)--York University, 2002.

245	0	Storm and dissonance : ǂb L.M. Montgomery and conflict / ǂc edited by Jean Mitchell.
260		Newcastle, UK : ǂb Cambridge Scholars Pub., ǂc 2008.
300		xiv, 415 p. : ǂb ill. ; ǂc 22 cm.
504		Includes bibliographical references and index.
520		In the past quarter century, L. M. Montgomery has emerged as the most internationally influential Canadian writer. Montgomery's own personal journals, kept from 1889 to 1942. They revealed an incredibly complex and well-read related essays that explore how and why Montgomery's gentle landscapes and optimistic stories contain underc Montgomery's work before the reader gets to her 'happy endings'. Edited and introduced by Island-born anthropol ways of coding experiences and perceptions. This book is important for anyone interested in Montgomery's artist

245	0 0	Anne around the world ǂb L.M
260		Montreal : ǂb McGill-Queen's
263		1305
300		p. ǂc cm.
504		Includes bibliographical refere
530		Issued also in electronic forma
600	1 0	Montgomery, L. M. ǂq (Lucy M
600	1 0	Montgomery, L. M. ǂq (Lucy M
600	1 0	Montgomery, L. M. ǂq (Lucy M
700		Ledwell, Jane, ǂd 1972-
700		Mitchell, Jean, ǂd 1953-

600	1 0	Montgomery, L. M. ǂq (Lucy Maud), ǂd 1874-1942 ǂx Criticism and interpretation.
650	0	Conflict (Psychology) in literature.
650	0	Social conflict in literature.
700	1	Mitchell, Jean.

RDA on the Ground | APLA 2013

The "What If?" game…
(MUN Local Practice)

- What if no dates?
- Use fuller form of name (9.19.1.4): "Mitchell, Jean |q (Margaret Jean)"
- What if no dates nor fuller form of name?
- Use occupation (9.19.1.6): "Mitchell, Jean |c (Anthropologist)"
- What if no dates, fuller form of name, nor occupation?
- You can use <u>current</u> activity date (9.19.1.5): "Mitchell, Jean, |d active 2013"

RDA on the Ground | APLA 2013

Fictitious entities as persons under RDA

- Jessica Fletcher – Murder She Wrote

- Subject:

040		OCoLC ǂb eng ǂc DLC
150		Fletcher, Jessica (Fictitious character)
450		Jessica Fletcher (Fictitious character)
670		Work cat.: Bain, D. Rum and razors, c1995 ǂb (Jessica Fletcher)

- Authority:

040		DLC ǂb eng ǂc DLC ǂe rda
053	0	PS3552.A376
100	1	Fletcher, Jessica
667		SUBJECT USAGE: This heading is not valid for use as a subject; use a fictitious character heading from LCSH.
670		Trouble at high tide, 2012: ǂb ECIP t.p. (Jessica Fletcher)

RDA on the Ground | APLA 2013

Page 3 of Windy Poplars…

1

(Letter from Anne Shirley, B.A., Principal of Summerside High School, to Gilbert Blythe, medical student at Redmond College, Kingsport.)

"Windy Poplars,
"Spook's Lane,
"S'side, P.E.I.,
"Monday, September 12th.

"DEAREST:

"Isn't that an address! Did you ever hear anything so delicious? Windy Poplars is the name of my new home and I love it. I also love Spook's Lane, which has no legal existence. It should be Trent Street but it is never called Trent Street except on the rare occasions when it is mentioned in the *Weekly Courier* . . . and then people look at each other and say, 'Where on earth is that?' Spook's Lane it is . . . although for what reason I cannot tell you. I have already asked Rebecca Dew about it, but all she can say is that it has always been Spook's Lane and there was some old yarn years ago of its being haunted. But *she* has never seen anything worse-looking than herself in it.

"However, I mustn't get ahead of my story. You don't know Rebecca Dew yet. But you will, oh, yes, you will. I foresee that Rebecca Dew will figure largely in my future correspondence.

RDA on the Ground | APLA 2013

Anne Shirley

040		CaNfSM ǂb eng ǂc CaNfSM ǂe rda
046		ǂf 186503
100	1	Shirley, Anne, ǂd 1865-
370		Bolingbroke, N.S. ǂe Avonlea, P.E.I. ǂe Kingsport, N.S. ǂe Four Winds, P.E.I. ǂe Glen St. Mary, P.E.I. ǂf P.E.I.
371		Windy Poplars ǂa Spook's Lane ǂb Summerside ǂc P.E.I.
372		Writing ǂa Poetry ǂa Teaching
373		Hopetown orphanage ǂa Redmond College ǂa Summerside High School
667		SUBJECT USAGE: This heading is not valid for use as a subject; use a fictitious character heading from LCSH
670		Anne of Windy Poplars, 1996: ǂb p. 3 (Letter from Anne Shirley, B.A., Principal of Summerside High School, to Gilbert Blythe, medical student at Redmond College, Kingsport; Windy Poplars, Spook's Lane, Summerside, P.E.I.)
670		Wikipedia, Mar. 15, 2013 ǂb (Anne Shirley was born in Bolingbroke, Nova Scotia; born in March, 1865; orphaned at age 3 months; age 11, moved from Hopetown orphanage to Green Gables, Avonlea, Prince Edward Island; B.A., Redmond College, Kingsport, Nova Scotia; marries Gilbert Blythe and moves to Four Winds, P.E.I.; has a total of seven children born between approximately 1891-99; family moves to Glen St. Mary; though Anne gives up writing short stories shortly after becoming a mother, she continues to write poems throughout her life)

RDA on the Ground | APLA 2013

Real non-human entities

- Lauder Lass (Horse)
  Lauder Lass, the horse who touched the hearts of many, 2011: $b t.p. (By Lauder Lass and Judi Gunn)

- Buddy $c (Dog), $d 1997-2002
  $i Owner: $a Clinton, Bill, $d 1946- $w r
  Dear Socks, dear Buddy, c1998: $b title page (letters to the first pets)

RDA on the Ground | APLA 2013

Relationship Designators

- Not part of the authorized access point.
- Added differently within different bibliographic records.

- 100 1 Houston, Bob $c (Singer), $e singer.

  Part of   Added in
   AAP   bib record

Quick Quiz!

- **T/F** Date of birth and/or death should always be included in the AAP (if known) 9.19.1.3
- **T/F** Fuller form of name should always be included in the AAP (if known) 9.19.1.4
- What are the two other Additions to Access Points used to distinguish an AAP?
  - Period of Activity of the Person 9.19.1.5
  - Profession or Occupation 9.19.1.6

References

Summary of Programmatic Changes to the LC/NACO Authority File
http://www.loc.gov/aba/rda/pdf/lcnaf_rdaphase.pdf

PCC Acceptable Headings Implementation Task Group
http://files.library.northwestern.edu/public/pccahitg/

RDA Name Authorities -- What's New and Different from AACR2 (Paul Frank)
http://www.ala.org/alcts/confevents/upcoming/webinar/cat/101712

Adam Schiff's home page (RDA presentations)
http://faculty.washington.edu/aschiff/

RDA on the Ground:
Living in MARC

Stacey Penney

staceya@mun.ca

May 14th, 2013

New stuff!

- Spell it out
- No more rule of three
- No more GMD
- No more Latin

RDA on the Ground | APLA 2013

Fixed Fields/040

- 040 $e rda
- Fixed fields:
  - Leader/18 (Descriptive Cataloguing Form) coded *i* if ISBD punctuation is included or blank if ISBD punctuation is not present at the end of a subfield (AACR2 records use subfield *a*)

RDA on the Ground | APLA 2013

RDA on the Ground | APLA 2013

046

- Special Coded Dates (Repeatable)

  $k - Beginning or single date created (NR)
  $I - Ending date created (NR)
  $m - Beginning of date valid (NR)
  $n - End of date valid (NR)
  $2 - Source of date (NR)

- RDA 6.4   Date of Work

Date of work is a core element when needed to differentiate a
work from another work with the same title or from the
name of a person, family, or corporate body.

RDA on the Ground | APLA 2013

046

007		v ǂb d ǂd m ǂe v ǂf a ǂg i ǂh z ǂi s
040		EMU ǂb eng ǂc EMU ǂe rda
043		n-wcu---
046		ǂk 2008
090		ǂb
049		QE2A
130	0	Omerta (Motion picture : 2008)
245	1 0	Omerta / ǂc una producción de Instituto Cubano del Arte e Industria Cinematográficos, Abra Prod ; con la participación de TVE [and four others] ; esc
257		Cuba ǂa Spain
260		[Place of publication not identified] : ǂb [publisher not identified] ; ǂa [Hialeah, Fla.] : ǂb distribuido por Kimbara Cine Cubano Inc, ǂc [2010?], ©2008.
300		1 videodisc (approximately 83 min.) : ǂb digital, sound, color with black and white sequences ; ǂc 4 3/4 in.
336		two-dimensional moving image ǂ2 rdacontent
337		video ǂ2 rdamedia
338		videodisc ǂ2 rdacarrier

RDA on the Ground | APLA 2013

1xx/7xx

AACR2	RDA
Montgomery, L. M. $q(Lucy Maud), $d1874-1942.	Montgomery, L. M. $q(Lucy Maud), $d1874-1942 $eauthor.
Shirley, Anne, $d1874-	Shirley, Anne, $d1874- $eauthor.

RDA on the Ground | APLA 2013

Relationship Designators

AACR2 21.0D1	RDA 18.5 & Appendix I
700 1# Avery, Stan,**$e ill.**	700 1# Avery, Stan,**$e illustrator.**
700 1# Eastwood, Clint,$d1930- **$4drt $4act $4cmp**	700 1# Eastwood, Clint,**$d1930- $efilm producer, $efilm director, $eactor, $ecomposer (expression)**
700 1# Coates, Anne V., **$efilm editor.**	700 1# Coates, Anne V., **$eeditor of moving image work.**
Facey-Crowther, David R.|q(David Richard),|d1938- **$ethesis advisor.**	No equivalent term.

RDA on the Ground | APLA 2013

Appendix I

- Relates Persons, Families, and Corporate bodies to Works, Expressions, and Manifestations
- Used in only bibliographic records

author A person, family, or corporate body responsible for creating a work that is primarily textual in content regardless of media type (e.g., printed text, spoken word, electronic text, tactile text) or genre (e.g., poems, novels, screenplays, blogs). Use also for persons, etc., creating a new work by paraphrasing, rewriting, or adapting works by another creator such that the modification has substantially changed the nature and content of the original or changed the medium of expression.

librettist An author of a libretto of an opera or other stage work, or an oratorio.

lyricist An author of the words of a non-dramatic musical work, except for oratorios.

screenwriter An author of a screenplay, script, or scene.

cartographer A person, family, or corporate body responsible for creating a map, atlas, globe, or other cartographic work.

choreographer A person, family, or corporate body responsible for creating a work of movement.

compiler A person, family, or corporate body responsible for creating a new work (e.g., a bibliography, a directory) through the act of compilation, e.g., selecting, arranging, aggregating, and editing data, information etc. For a compiler as a contributor, see *editor of compilation*, I.3.1 RDA.

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Appendix J

- Relates Works, Expressions, and Manifestations to Works, Expressions, and Manifestations
- Used in both bibliographic and authority records (formerly known as "see-alsos")

based on (work) A work used as the source for a derivative work. *Reciprocal relationship:* derivative work

abridgement of (work) A work that has been abridged, i.e., shortened without changing the general meaning or manner of presentation of the source work. *Reciprocal relationship:* abridged as (work)

abstract of (work) A work that has been abstracted, i.e., abbreviated in a brief, objective manner. *Reciprocal relationship:* abstract (work)

abstracts for (work) A work whose contents have been abstracted by an abstracting and indexing service. *Reciprocal relationship:* abstracted in (work)

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Appendix J

100 1# $a Brown, Dan, $d 1964- $eauthor.

240 10 $a Digital fortress. $l French

245 10 $a Forteresse digitale.

700 1# $i Translation of: $a Brown, Dan, $d 1964- $t Digital fortress.

RDA on the Ground | APLA 2013

Appendix J

245 00 American science fiction :$bfour classic novels, 1953-1956 /$cGary K. Wolfe, editor.

505 1# The space merchants / by Frederik Pohl and C.M. Kornbluth – More than human / by Theodore Sturgeon – The long tomorrow / by Leigh Brackett – The shrinking man by Richard Matheson.

700 12 $icontains (work) $aPohl, Frederik ; $aKornbluth, C. M.$q(Cyril M.), $d1924-1958.$tSpace merchants.

700 12 $icontains (work) $aSturgeon, Theodore.$tMore than human.

700 12 $icontains (work) $aBrackett, Leigh.$tLong tomorrow.

700 12 $icontains (work) $aMatheson, Richard,$d1926-$tShrinking man.

700 12 Wolfe, Gary K.,$d1946- $eeditor of compilation.

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Information in statements of responsibility (RDA 2.4.1.4)

- Transcribe as found
- Do not omit:
  - titles of nobility, address, honour, etc.
  - initials of societies, dates of founding, mottoes, etc.
  - but, an option to abridge a statement of responsibility if essential information not lost

- RDA record:
  245 $a ... / $c Dr. Logan Carroll, Harvard Medical School

- AACR2 record:
  245 $a ... / $c Logan Carroll

RDA on the Ground | APLA 2013

245

Transcribed ... (RDA 1.7.1)

- AACR2
  - Anne of Windy Poplars /$cL.M. Montgomery.
  - Anne of Windy Willows /$cby L. M. Montgomery.
- RDA
  - Anne of Windy Poplars /$cL.M. MONTGOMERY.
  - ANNE OF WINDY WILLOWS /$cby L. M. MONTGOMERY. (with an additional 240 for: Anne of Windy Poplars)

RDA on the Ground | APLA 2013

No more rule of three

$a ... / $c by Susan Brown, Melanie Carlson, Stephen Lindell, Kevin Ott, and Janet Wilson.

or, if option applied:

245 $a ... / $c by Susan Brown **[and four others]** (no longer "[et al.]")

Exercise Break: 1a and 2a

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1a.

i   245 $a ELEMENTARY AND MIDDLE SCHOOL MATHEMATICS: $b teaching developmentally / $c John A. Van de Walle, Late of Virginia Commonwealth University, Sandra Folk, University of Toronto, Karen S. Karp, University of Louiseville, Jennifer M. Bay-Williams, University of Louiseville.

ii 245 $a Elementary and middle school mathematics: $b teaching developmentally/ $c John A. Van de Walle...[et al.]

iii 245 $a Elementary and middle school mathematics: $b teaching developmentally/ $c John A. Van de Walle, Sandra Folk, Karen S. Karp, Jennifer M. Bay-Williams.

iv 245 $a Elementary and middle school mathematics: $b teaching developmentally/ $c John A. Van de Walle [and three others]

RDA on the Ground | APLA 2013

2a.

i 245 $a **CASES AND MATERIALS ON THE LAW OF TORTS /$**c by ROBERT M. SOLOMON, Professor of Law, University of Western Ontario, MITCHELL McINNES, Professor of Law, University of Alberta, ERIKA CHAMBERLAIN, Associate Professor of Law, University of Western Ontario, STEPHEN G.A. PITEL, Associate Professor of Law, University of Western Ontario.

ii 245 $a **CASES AND MATERIALS ON THE LAW OF TORTS /$**c by ROBERT M. SOLOMON, MITCHELL McINNES, ERIKA CHAMBERLAIN, STEPHEN G.A. PITEL.

iii 245 $a Cases and materials on the law of torts / $c by Robert M. Solomon [and three others]

iv 245 $a Cases and materials on the law of torts / $c by Robert M. Solomon, Mitchell McInnes, Erika Chamberlain, Stephen G. A. Pitel

v 245 $a Cases and materials on the law of torts / $c by Robert M. Solomon … [et al].

RDA on the Ground | APLA 2013

245

Inaccuracies - Monographs

AACR2
245 10 $aAnne of Ingelside **[sic]** /$c L.M. Montgomery
246 3# $ aAnne of Ingleside

RDA 1.7.9, 2.3.1.4
245 10 $ aAnne of Ingelside /$c L.M. Montgomery
246 1# **$iTitle should read:** $ aAnne of Ingleside

RDA on the Ground | APLA 2013

245

Transcription of punctuation
- RDA 1.7.3 "take what you see"
- No longer replace … or []
  with – and () as per AACR2

1.7.3 **Punctuation**

Transcribe punctuation as it appears on the source, omitting punctuation on the source that separates data to be recorded as one element from data to be recorded as a different element, or as a second or subsequent instance of an element.

EXAMPLE
…and then there were none

What is it?…what is it not?

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250 (non repeatable)

AACR2	RDA
$a 1st ed.	$a 1st edition.
$a 2rd ed.	$a 2rd ed.
$a 3rd ed.	$a third revised edition
	(if it appears this way on source)

RDA on the Ground | APLA 2013

264

New MARC field (repeatable)

264 field   2nd indicator

0=production

1=publication

2=distribution

3=manufacture

4=copyright notice date

260/264

AACR2 (260)	RDA (264 #1)
[Toronto] : $bSeal Books, $c1996.	[Place of publication not identified] : $ b Seal Books, $c 1996
	[Toronto?] : $b Seal Books, $c 1996. *(LC Recommended)*
	[Canada] :$b Seal Books,$c 1996. *(LC Recommended)*
	$c©1996
London : $bHarrap, $c1941.	London : $b George G. Harrap & Co. Ltd, $c 1936.

264

Production statement - RDA 2.7 (MARC #0)

CORE FOR unpublished resources

Publication statement - RDA 2.8 (MARC #1)

CORE IF AVAILABLE

Distribution statement - RDA 2.9 (MARC #2)

CORE IF no Publication Statement

Manufacture statement - RDA 2.10 (MARC #3)

CORE IF no Pub or Dist Statement

Copyright date - RDA 2.11 (MARC #4)

CORE IF no Publication or Distribution Date

264

Recording place (RDA 2.8.6):
- if more than one, <u>only the first recorded</u> is required (no "home country" provision)
- LC encourages to supply a probable place whenever possible for benefit of users and cataloguers
  - If no probable place, give **[Place of publication not identified]** not **[S.l.]**
  - Do not correct fictitious or incorrect information, make a note to explain

- optional addition: supply the name of the larger jurisdiction

264

Publisher (RDA 2.8.4)
- if more than one, only the first recorded is required
- do not shorten the form of name but can omit corporate hierarchy
- if no publisher identified, give **[publisher not identified]** not **[s.n.]**

264

Date (RDA 2.8.6)
- if no publication date on resource, supply a probable date whenever possible
- if no probable date (very rare) for single-part monographs, give **[date of publication not identified]**

Supplying dates (RDA 1.9)

actual year known **[2010]**

either one of two consecutive years **[2009 or 2010]**

probable year **[2010?]**

probable range of years **[between 2008 and 2010?]**

earliest and/or latest possible date known ("not before," "not after," or "between ___ and ___")
[not before January 15, 2010]

Note: No longer using "*ca.*" **(approximately) with year**

Place of Distribution (RDA 2.9.2)

Example 1
> 264 #1 $a **[Place of publication not identified]** : $b ABC Publishers
>
> 264 #2 $a Seattle : $b distributed by Iverson Company, $c 2009.
>
> (On source: ABC Publishers, 2009,Distributed by Iverson Company, Seattle)

Example 2
> 264 #1 $a **[Seattle?]** : $b ABC Publishers, $c 2009.
>
> *(LC Recommended)*

Date of Distribution (RDA 2.9.6)

Example 1

  264 #1 $a Omaha, Nebraska : $b Means Pub. Co.,
  $c [date of publication not identified]
  264 #2 **$c 2009**.

    On title page: Means Pub. Co., Omaha, Nebraska
    On title page verso: 2009 distribution

Example 2

  264 #1 $a Omaha, Nebraska :$b Means Pub. Co, **$c [2009?]**

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No Probable Publisher: Record Distributor (RDA 2.9.4)

- do not supply a "probable" publisher
- sometimes must give distribution information

  *On jewel box: Published in 2010 in Providence;
  distributed in Boston and Ottawa by KL, Inc.*

264 #1 $a Providence :**$b [publisher not identified]**
264 #2 $a Boston : $b **KL, Inc. [distributor],** $c2010.

RDA on the Ground | APLA 2013

No Probable Publisher or Distributor: Record Manufacturer (RDA 2.10.4)

264 #1 $a **[Place of publication not identified]** : $b **[publisher not identified]** , $c [1941?]

264 #3 $a Norwich, Great Britain: $b Jarrold & Sons Limited, $c 1941.

500 ## $a September 1941.

Source: Introduction: September 1941.
Title page verso: Printed by Jarrold & Sons Limited, Norwich.

 *Cannot supply a probable publisher or place of publication, no distribution information.

RDA on the Ground | APLA 2013

Copyright date (RDA 2.11)

- Core if element: if neither the date of publication nor the date of distribution is identified
- LC Policy: always give copyright date but record it in a separate 264 field
- precede by copyright symbol (©) or phonogram symbol (℗)

Example: 264 #1 $a Toronto : $b Schott, $c [2011]
       264 #4 $c ©2011.

RDA on the Ground | APLA 2013

Copyright Date/ff

- When using a publication date and a copyright date update your Dat_tp fixed field accordingly. Choose **t**

m	TypeCtrl		Enc_Lvl	
100219	Dat_Tp	s	Date1	2010

b No dates given; B.C. date involved
c Continuing resource currently published
d Continuing resource ceased publication
e Detailed date
i Inclusive dates of collection
k Range of years of bulk of collection
m Multiple dates
n Dates unknown
p Date of distribution / Release / Issue and production / Recording session when different
q Questionable date
r Reprint / reissue date and original date
s Single known date / probable date
t Publication date and copyright date
u Continuing resource status unknown
| No attempt to code

RDA on the Ground | APLA 2013

Examples

264 #1 $a Montréal, Québec : $b XYZ Éditeur, $c [2010]
264 #4 $c ©2010.
On source: Copyright 2010

264 #1 $a Chicago : $b ELC Publishers, $c [date of publication not identified]
264 #4 $c ℗2010.
On disc label: ELC Publishers, Chicago, ℗2010

Exercise Break 1c and 2c

RDA on the Ground | APLA 2013

1c.

i   264 #1 $a Toronto : $b Pearson Canada, $c   [date of publication not identified], ©2011.

ii   264 #1 $a Toronto : $b Pearson Canada, $c 2011.

iii   264 #1 $a Toronto : $b Pearson Canada Inc., $c [2011].
    264 #4 $c©2011

iv   264 #1 $a Toronto, Ontario: $b Pearson Canada, Inc., $c [date of publication not identified]
    264 #4 $c©2011.

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2c.

i   264 #1 $a [Canada] : $b Carswell, A Division of Thomson Reuter Canada Limited, $c [date of publication not identified]
    264 #4 $c©2011.

ii   264 #1 $a [Toronto] : $b Carswell, $c [2011]

iii 264 #1 $a [Toronto?] : $b Carswell, $c [2011]

iv   264 #1 $a [Toronto, Ontario] : $ Carswell, $c [2011]

v   264 $a [Toronto, Ontario?] : $ Carswell, $c [2011]

vi   264 $a [Toronto, Ontario] : $ Carswell, $c [2011]

vii 264 $a [Toronto] : $b CARSWELL, $c [2011]

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300

- **No abbreviations** for terms (e.g., "pages," "volumes")
- Use **"approximately"** (not "ca.") **and "that is"** (not "i.e.")
- Use **"unnumbered"** rather than square brackets enclosing the numeral

- misleading numbering (RDA 3.4.5.5)
  48, that is, 96 pages

- incomplete volume (RDA 3.4.5.6)
  xiv, 179 pages (incomplete)

- complicated paging (RDA 3.4.5.8)
  368 pages in various pagings
  54 pages, 78 pages, 96 unnumbered pages
  1 volume (various pagings)

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300 – changes…

Some changes in vocabulary from AACR2

- RDA: use "condensed score" and "study score"

  NOT "close score" and "miniature score"

- RDA: use "computer disc" for both "computer disk" and "computer optical disc"

- RDA: use "sheet," *NOT* "broadside"

Check terms in vocabulary lists

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No more GMD, but we do have…

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336

Content Type (RDA 6.9)
- The form of communication through which a work is expressed
- Examples (from Table 6.1)
  - text
  - cartographic image
  - performed music

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337

Media Type (RDA 3.2)
- The general type of intermediation device required to view, play, run, etc., the content of a resource

- Examples (from Table 3.1)
  - computer
  - projected
  - unmediated

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338

Carrier Type (RDA 3.3)
- The format of the storage medium and housing of a carrier
- Examples (from Table 3.1)
  - computer disc
  - film reel
  - volume

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In action (MARC)….

- 336 ## text **$btxt** $2rdacontent
  http://www.loc.gov/standards/valuelist/rdacontent.html
- 337 ## unmediated **$bn** $2rdamedia
  http://www.loc.gov/standards/valuelist/rdamedia.html
- 338 ## volume **$bnc** $2rdacarrier
  http://www.loc.gov/standards/valuelist/rdacarrier.html

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490/830

AACR2 1.6G

490 1_ $a Dictionary of literary
biography ; $v v. 68
*Numbering on source of
information:* Volume Sixty-eight
830 _0 $a Dictionary of literary
biography ; $v v. 68.

490 1_ $a B.C. geographical series ; $v
no. 51
*Numbering on source of
information:* NUMBER 51
830 _0 $a B.C. geographical series ; $v
no. 51.

RDA 2.12.9

490 1_ $a Dictionary of literary
biography ; $v volume 68
830 _0 $a Dictionary of literary
biography ; $v v. 68.

490 1_ $a B.C. geographical series ; $v
number 51
830 _0 $a B.C. geographical series ; $v
no. 51.

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Numbering lacking on first issue or part (RDA 2.6.2.3 and 2.6.3.3)

- if later issues have numeric and/or alphabetic designation and/or chronological designation, supply this information for the first issue

- indicate that the information was taken from a source outside the resource itself so can use the convention [Part 1]

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Series Numbering

AACR2	RDA 2.12.9
490 1# Anne of Green Gables novels ;|vbk. 4	490 1# Anne of Green Gables novels ;$v**book** 4
• *Numbering on source: Book Four*	
490 1# Anne of Green Gables novels ; $v6	490 1# Anne of Green Gables novels ; $v**VI**
• *Numbering on source: VI*	

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Inaccuracies

AACR2	RDA
490 1# Nature ; $ v4 [i.e.44]	490 1# Nature ;$ v4
Incorrect series numbering appears on item.	500 ## Series numbering should be v. 44.

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ISSN of series (RDA 2.12.8) and subseries (RDA 2.12.16)

LC Core

- MARC 490 $x now repeatable

  490 $a Title of main series, $x ISSN of main series. a Title of subseries, $x ISSN of subseries

- Example:

  490 $a Acta Universitatis Wratislaviensis, $x 0239-6661 ; $v no 925. $a Historia, $x 0524-4498 ; $v 64

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References

- *MARC 21 Format for bibliographic data*, http://www.loc.gov/marc/bibliographic/
- *MARC code list for relators*. http://www.loc.gov/marc/relators/relaterm.html
- *PanCanadian RDA Training Module: Cataloguing with RDA: Recording Attributes of Manifestations & Items*. http://rdaincanada.wikispaces.com/Pan-Canadian+modules
- *RDA Special Topics: Relationship Designators in RDA Name Authority Records (NARs)* ppt *by* Ana Lupe Cristán, Policy and Standards Division Library of Congress (Revised September 2012)
- Schiff, Adam. *Changes from AACR2 to RDA: A comparison of examples. Part 1: Description*. OLA Annual Conference, April 25, 2012. http://faculty.washington.edu/aschiff/

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RDA on the Ground: implementation

based on the OLA 2013 presentation
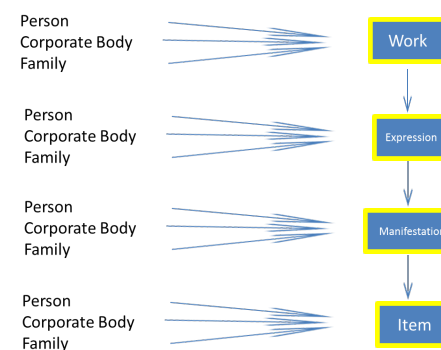by Chris Oliver

We're in "phase one"

We will continue to
- encode descriptions in MARC 21
- add to, index and display in, existing catalogues
  - bibliographic and authority records as per RDA
  - some new MARC fields
  - some changed instructions
  - some new instructions

BUT we must start to think differently about bibliographic data

... and focus on the big picture

Modeling the data entities and relationships

Source: T. Brenndorfer 2013

Get ready to implement!

train cataloguers how to recognize RDA records and
the realities of working in a hybrid environment
*other staff need to know about major differences in
display*

decide how your cataloguers will deal with
"options"

determine impact on public display and catalogue
functionality (such as exporting to Refworks)

The hybrid environment

- AACR and RDA records residing together in
your catalogue

- AACR2 descriptions with RDA access points
  ◦ with relationship designators ... hidden or indexed
and displayed
  ◦ with 336-337-338 elements ... hidden and invoked
by your ILS to generate meaningful information

keep in mind!

do not introduce abbreviations into RDA records

do not include GMDs in RDA records

do not omit square brackets in AACR2 records
when statement of responsibility is not taken
from preferred (chief) source

options and alternatives

- optional omissions

- optional additions

- alternatives

choose to: follow LC-PCC *or* set local policy *or*
leave to cataloguer's judgment

RDA and MARC

import and store all of the new MARC tags
  so that you do not remove data that is core
  336 $a text $2 rdacontent

  which of the new elements to display**?**
  which new elements should we index**?**

  configure import and export tables**!**

Preparing for the future

Implementation is more than a checklist of changes, and must be accompanied by opportunities to learn the *RDA way* of thinking about data

RDA Training E-forum
May 14-15, 2013
http://www.ala.org/alcts/confevents/
  upcoming/051413